

# Integrated Regional Water Management Grant Program

Applicant Workshops

Planning Grants & Implementation Grant, Step 1

March 22 – April 7, 2005

Sacramento, San Luis Obispo, Riverside, and  
Oakland

# Overview of Program

# Program Objectives

- Protect Communities from Drought
- Protect & Improve Water Quality
- Reduce Dependence on Imported Water
- Promote Integrated Regional Planning
- Achieve Multiple Benefits and Objectives

# Funding

- Approx. \$380 million available for IRWM grants
- 1<sup>st</sup> Funding Cycle – Approximately \$160 million
  - Planning Grants - \$12 million
  - Implementation Grants - \$148 million
- 2<sup>nd</sup> Funding Cycle – Approximately \$220 million

# Maximum Grant Amounts

## Funding Match Requirements

### ■ Planning Grants

- Maximum Award – \$500,000
- Minimum Funding Match – 25%

### ■ Implementation Grants

- Maximum Award – \$50,000,000
- Minimum Funding Match – 10%

# Preference on “Project Type”

- Water Supply
  - *Protect Communities from Drought*
  - *Reduce Dependence on Imported Water*
- Water Quality
  - *Protect & Improve Water Quality*
- Multiple Benefits

# Application Process

- Planning Grants
  - One-step Process
- Implementation Grants
  - 1 Application per Region
  - Two-step Process
    - Step 1
      - Conceptual Proposal
    - Step 2
      - Selected proposals called back
      - Detailed proposal

# Eligible Grant Recipients

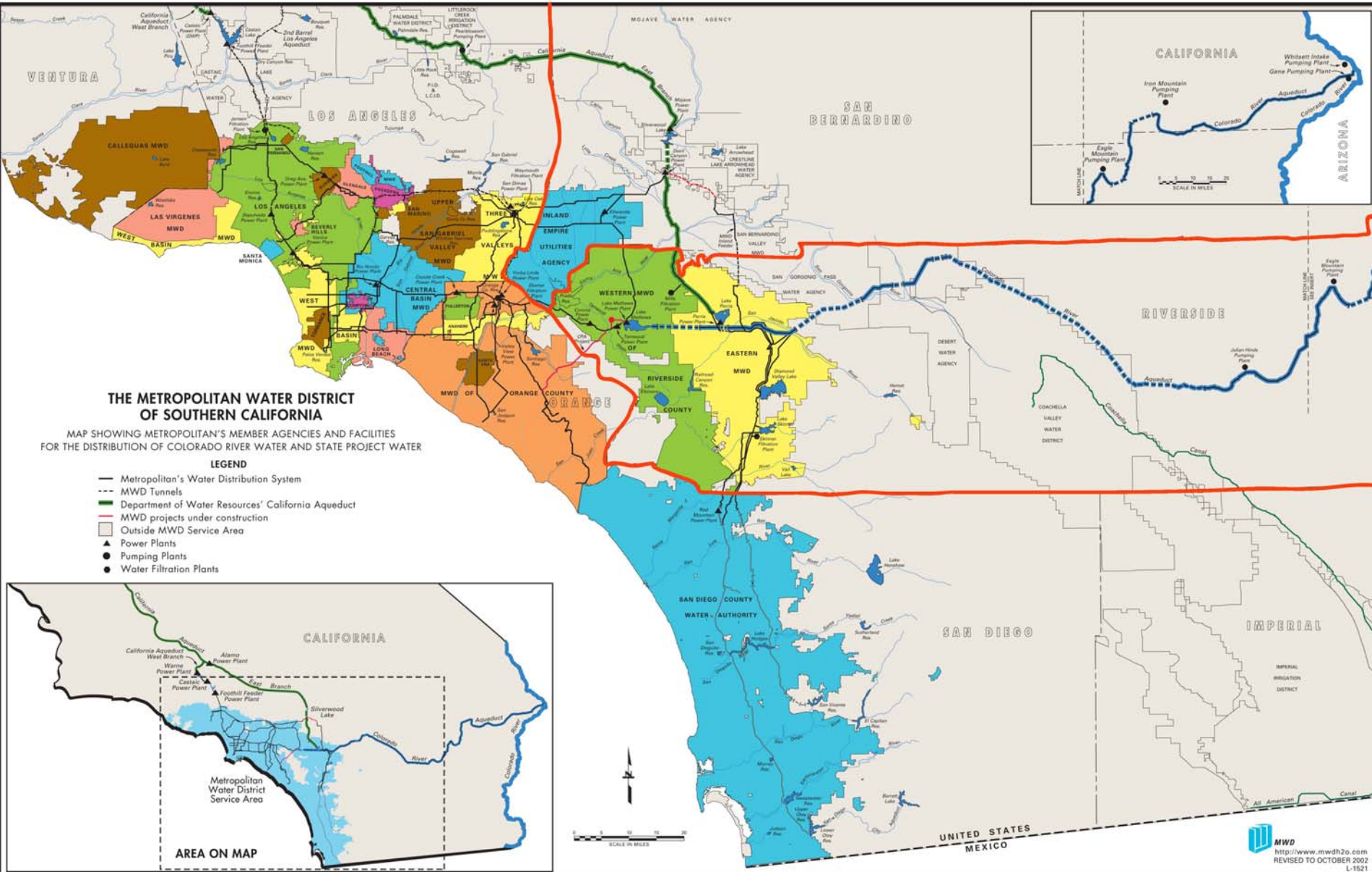
- Non-profit Organizations
  - California Corporations
  - Internal Revenue Code §§ 501(c)(3 – 5)
- Public Agencies
  - Local governments
  - State agencies or departments
- *Other entities may be a part of IRWM Plan and may perform work under a grant agreement.*



# Program Preferences

- Integrated projects providing multiple benefits
- Improve water supply reliability
- Long-term attainment and maintenance of water quality standards
- Eliminate or reduce pollution in impaired waters and sensitive habitat areas
- Drinking water & water quality projects that serve disadvantaged communities
- Groundwater projects in Southern California

# Groundwater Projects



# Statewide Priorities

- Reduce conflict between water users and resolve water rights disputes
- Implement TMDLs
- Implement RWQCB Watershed Management Initiative Chapters, Plans, and Policies
- Implement SWRCB NPS Pollution Plan
- Meet Delta Water Quality Objectives
- Implement various task force recommendations
- Address environmental justice concerns
- Assist CALFED program goals

# Next Steps – Planning Grants

- Workshops – March 22 – April 7, 2005
- Planning Grant Applications Due – May 12, 2005
- Initial Funding List Ready – *September 2005*
- Awards – *October 2005*

# Next Steps – Implementation Grants

- Workshops – March 22 – April 7, 2005
- Issue Draft Step 2 PSP – *mid-June 2005*
- Step 1 Applications Due – July 14, 2005
- Call Back Step 2 Proposals – *December 2005*

# Planning Grants

# Eligible Project

- Develop new, complete or modify IRWM Plan,
- Develop new, complete or modify ICWM Plan, or
- Components thereof

# Planning Grants

- Application Instructions
  - How to Submit
  - What to Submit
  - Requirements for Attachments
  
- Evaluation Criteria



# Application Instructions

## II. A. How to Submit

- Use FFAST to submit application on-line
- Table 1 – FFAST Checklist
  - Sign-up and answer questions on-line
  - Application Questionnaire
    - Answer 12 Questions on-line
  - Application Attachments
    - Up to 5 Attachments to up-load

# Application Instructions

## II. B. What to Submit

- Attachments using this convention:
  - Att #\_PG\_Attachment Name\_#ofTotal #
  - See PSP for further requirements

# Application Instructions

## II. C. Requirements for Attachments

- Attachment 1 – Authorizing Documentation (**required**)
- Attachment 2 – Eligible Applicant Documentation (**required**)
- Attachment 3 – Work Plan (**required**)
- Attachment 4 – Disadvantaged Community Supporting Information (**optional**)
- Attachment 5 – Certification of Understanding (**optional**)

*Attachments 4 & 5 – submit only if requesting a waiver or reduction in Funding Match*

# Attachment 1

## Authorizing Documentation

Att1\_PG\_AuthDoc\_1of1

- Submit documentation:
  - Applicant's authorized representative
    - File an application
    - Enter into an agreement with the State
- Exhibit A - Example Resolution

# Attachment 2

## Eligible Applicant Documentation

### Att2\_PG\_EligDoc\_1ofTotal1

- Answer questions regarding applicant eligibility
- Exhibit B contains questions
- Public Agencies
  - Is the applicant a public agency?
  - Statutory or other legal authority to operate
  - Legal authority to enter into a grant agreement with the State
  - Legal agreements among partner agencies

# Attachment 2

## Eligible Applicant Documentation

- Non-Profit Organizations
  - Is applicant the appropriate type of non-profit agency
  - Legal authority to enter into a grant agreement with the State
  - Any legal agreements among partner agencies
  - Copy of the certificate of incorporation

# Attachment 3

## Work Plan

Att3\_PG\_WorkPlan\_3ofTotal4

### ■ Work Plan

- Primary information for proposal ranking
- Exhibit C - Work Plan Preparation Guidance
- IRWM Plan Standards
  - Appendix A of Guidelines
- Address items C through O
  - Appendix B.1 of Guidelines

# Section III.A

## Evaluation Criteria

- Guidelines, Table B-1 provides evaluation criteria
- Scoring scale: 1 to 5
  - 1 being “low”
  - 5 being “high”
- Score X “weighting factor” = points for each criterion
- **Total Points Available for proposal = 90**



# Scoring for Planning Grants

- 5 Points – Criterion **fully** addressed and **supported** by thorough and well presented documentation and logical rationale
- 4 Points – Criterion **fully** addressed but is **not supported** by thorough documentation or sufficient rationale
- 3 Points – Criterion is **less than fully** addressed and documentation and/or rationale are **incomplete** or **insufficient**
- 2 Points – Criterion is **marginally** addressed
- 1 Point – Criterion is **not addressed** or **no documentation or rationale** is presented

# Work Plan

## Attachment 3

- Weighting factor = 3
- Maximum points = 15
- General frame work
  - Background, specific work items, budget, and schedule
- Sufficient detail
- Clear and implementable
- Consistency with work items, budget, & schedule

# Work Plan

## Attachment 3

- Background and Work Items:
  - Context
  - Specific work items:
    - Planning studies
    - Plan development, and adoption
    - Stakeholder involvement
    - Environmental compliance – CEQA, etc
    - Periodic reports, final reports, other written documentation
    - Etc.

# Work Plan

## Attachment 3

- Budget:
  - Reasonable
  - Logical
  - Supporting information
  - Consistent with work items and schedule
  - A line item estimate for each work item

# Work Plan

## Attachment 3

- Budget:
  - Minimum funding match
    - 25% of the total proposal costs
  - Exception - a waiver or reduction is requested for disadvantaged communities
  - Funding match
    - Show source for each work item

# Work Plan

## Attachment 3

- Schedule:
  - Sequencing and timing of work items
  - Development through Plan adoption
  - Consistent with work items and budget
  - Chart
  - Reasonable

# Work Plan

## Attachment 3

- Show:
  - Milestones
  - Linkage between work items
  - Defined performance period
    - January 2, 2006 – January 2, 2008
- If Plan will be adopted by January 1, 2007:
  - Compete for Implementation Grants concurrently

# Description of Region

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Define region for proposed Plan
- Basis for boundaries
- Why appropriate area for water management
- Identify:
  - Internal boundaries,
  - Major water related infrastructure, and
  - Major land-use divisions



# Description of Region

## Attachment 3

- Quality and quantity of water resources
- Water supplies and demand for a 20-yr planning period
- Important ecological processes
- Environmental resources

# Description of Region

## Attachment 3

- Social and cultural makeup
- Figure/map
- Economic conditions and trends
- Benefits of defining this region versus individual local efforts

# Objectives

## Attachment 3

- Weighting factor = 2
- Maximum points = 10
- Regional planning objectives
- How objectives determined
- Major water related objectives & conflicts

# Objectives

## Attachment 3

- Address at a minimum:
  - Water supply
  - Groundwater management,
  - Ecosystem restoration, and
  - Water quality
- Statewide priorities

# Integration of Water Management Strategies

## Attachment 3

- Weighting factor = 2
- Maximum points = 10
- Multiple water management strategies
- Technical process to determine strategies
- Must consider water management strategies
  - Shown with \* in Table A-1 of Guidelines
- How selected strategies produce benefits

# Implementation

## Attachment 3

- Weighting factor = 2
- Maximum points = 10
- For adopted Plans
  - General implementation schedule beyond Plan adoption
  - Institutional structure
- For proposed Plans
  - Process to determine schedule
  - Process to develop structure

# Implementation

## Attachment 3

- Process for monitoring performance and changes to the Plan
- For NPS projects:
  - Appropriate management measures
  - Practices
  - Implementation responsibilities and schedule

# Impacts and Benefits

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Analysis of potential impacts within the region and adjacent areas
- Analysis of potential benefits
- If not available – process to develop
- Plan for CEQA and other environmental compliance



# Data and Technical Analysis

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Adequacy of available data
- Technical studies - conducted or planned
- Data gaps
- Measures, and monitoring to evaluate project/plan performance
- Mechanism to adapt project/plan implementation

# Data Management

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Process for gathering, and managing
- Disseminating to stakeholders, agencies, and the public
- Support statewide data needs
  - SWAMP, GAMA, CERES

# Stakeholder Involvement

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Processes for stakeholder involvement
- All appropriate stakeholders
- Environmental justice concerns
- How stakeholders may influence decisions

# Disadvantaged Communities

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Does region include disadvantaged community(ies) (DAC)?
- Environmental justice concerns
- Document water supply and water quality needs
- Direct benefits with Plan implementation

# Relation to Local Planning

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Existing local planning documents
- Local agency planning documents relationship to IRWM water management strategies
- Dynamics between the two levels of planning documents

# Agency Coordination

## Attachment 3

- Weighting factor = 1
- Maximum points = 5
- Coordination and cooperation with:
  - Local, State, and federal agencies, and
    - Any State and Federal decisions required for implementation
  - Local land-use planning decision-makers

# Disadvantaged Community Information

## Attachment 4

### Att4\_PG\_DACinfo\_#ofTotal#

- Mandatory if requesting a waiver or reduction in Funding Match
- Exhibit D contains:
  - Requirements for reduced funding match
  - Suggested steps for providing DAC information
- DAC information will be covered in detail later today

# Certification of Understanding

## Attachment 5

### Att5\_PG\_Cert\_1of1

- Mandatory if requesting a waiver or reduction in Funding Match
- Exhibit 5 - Certification of Understanding
- Applicant affirms understanding that:
  - Waiver or Reduction is a request
  - DWR and State Water Board make determination
  - Grantee responsible for project costs exceeding grant
  - Grant rescinded if grantee cannot cover cost or restructure



# Integrated Coastal Watershed Management (ICWM) Plans

- Must meet requirements of IRWM Plans
- Applicant must describe:
  - Coastal watershed that influence water quality in an area of special biological significance

<http://www.waterboards.ca.gov/plnspols/oplans/index.html>

# ICWM Plans

- Must be consistent with the Critical Coastal Areas Program “Watershed Action Plan Outline”

<http://www.coastal.ca.gov/nps/cca-plan-outline.pdf>



# Disadvantaged Communities

# Funding Match Reduction

- Background
- Access to Information
- Planning Grant Attachments
- Implementation Grant Attachments

# Background

- California Water Code
  - §79505.5 – Defines DAC
    - A community with an annual median household income (MHI) that is less than 80% of the Statewide MHI
    - Census 2000:  $\$47,493 * 0.80 = \$37,994$
  - §79562.5(d) – DWR may waive the funding match requirement for DAC
  - §79564(b) – State Water Board awarded projects must include funding match

# Background

- State Water Board and DWR discretion
- Guidelines (pg 13) state that reductions or waivers in funding match will be based on:
  - Presence
  - Included in planning process
  - Benefits
  - % Population

# Access to Information

- Use any means they have for accessing and presenting census data (2000 or more recent)

- Access information

- For GIS shape files:

- [http://www.census.gov/geo/www/cob/body\\_files.html](http://www.census.gov/geo/www/cob/body_files.html)

- Population and MHI data:

- [http://www.factfinder.census.gov/home/saff/main.html?\\_lang=en](http://www.factfinder.census.gov/home/saff/main.html?_lang=en)



# Things to Remember...

- Use census geographies that make sense
- MHI and Population data must match the Geography
- Different geographies for different communities OK
- Be careful with zeros in data sets
  - Explain why appropriate

# Planning Grant

## Request and Attachments

- FAAST
- Attachment 3 – Work Plan
- Attachment 4 – DAC Supporting Info
- Attachment 5 – Certification of Understanding

# Planning Grant

## FAAST

- General Information Section
  - “Local Cost Match” question
    - Enter Reduced Funding Match request
- Application Questionnaire Section
  - Question 5
    - Answer affirmatively

# Planning Grant

## Attachment 3 – Work Plan (Exhibit C)

- Documentation of:
  - Presence of DAC in the region
    - How many; description; WQ & WS needs
    - Map
  - Relation to management objectives
  - Participation of DAC in planning effort
    - Who
    - How – present or future

# Planning Grant

## Attachment 3 – Work Plan (Exhibit C)

- Documentation of
  - Anticipated benefits to DAC from Plan development and adoption
- Budget
  - Funding match request + grant funds per line item
  - Source of funding match

# Planning Grant

## Attachment 4 – DAC Supporting Info (Exhibit D)

- Representatives of DAC support letters
  - Support for proposal
  - Acknowledge involvement
- Methodologies – logic/approaches
  - Identifying DAC – defining boundaries
  - Determining census geographies for DAC

# Planning Grant

## Attachment 4 – DAC Supporting Info (Exhibit D)

### ■ Data

- Table of census geo MHI, Pop, for each DAC
- Total pop of region

### ■ Calculations

- Disadvantaged Community Ratio
  - $DCR = \text{Pop}_{DAC} / \text{Pop}_{Region}$
- Reduced Funding Match Factor
  - $RFMF = 0.25 - (0.25 \times DCR)$

# Planning Grant

## Attachment 5 – Certification of Understanding (Exhibit E)

- In-lieu of having to submit a budget with minimum funding match of 25%
- Applicant affirms understanding that:
  - Waiver or Reduction in the application is a request.
  - DWR and State Water Board make determination.
  - Grantee responsible for project costs exceeding grant.
  - Grant rescinded if grantee cannot cover cost or restructure.
- Must be signed by person designated in resolution (Attachment 1)



# Implementation Grant, Step 1

- Added DAC procedures to Step 1
  - Avoid submittal of a 10% minimum funding match (2 budgets)
  - Applicants invited for Step 2 will know what the expected funding match will be

# Implementation Grant, Step 1

## Request and Attachments

- FAAST – Similar to Planning Grant
- Attachment 3 – IRWM Plan
- Attachment 5 – Consistency w/Standards
- Attachment 7 – Cost Estimate
- Attachment 10 – DAC Supporting Information

# Implementation Grant, Step 1

## Attachment 3

- DAC should be included in:
  - Description of Region
  - Stakeholder discussion
  - Maps of Region
  - Benefits and Impact of the Plan
- If a previously adopted plan does not include
  - Include information in Attachment 10

# Implementation Grant, Step 1

## Attachment 5 – Consistency with Standards

- DAC discussion should be included in:
  - Regional Description
  - Stakeholder Involvement and Coordination
  - Impacts and Benefits

# Implementation Grant, Step 1

## Attachment 7 – Cost Estimate (Exhibit C)

- Show reduced funding match request in the Funding Match column.
- Do **NOT** show min 10% Funding Match
- ID sources of funding match for each project

# Implementation Grant, Step 1

## Attachment 10

- Supporting information
  - Need for waiver or reduction
  - Amount and type of direct benefits from each project to specific disadvantaged communities in the region
- Representatives of DAC support letters
  - Support for proposal
  - Acknowledge involvement

# Implementation Grant, Step 1

## Attachment 10

- Methodologies – logic/approaches
  - Identifying DAC – defining boundaries
  - Determining census geographies for DAC
- Data
  - Table of census geo MHI, Pop, for each DAC
  - Total Population of the Region
  - *Total Population benefited by project and DAC population benefited by project [Not in PSP]*

# Implementation Grant, Step 1

## Attachment 10

### ■ Calculations

#### ■ Disadvantaged Community Ratio

$$\blacksquare \text{DCR} = \text{Pop}_{\text{DAC}} / \text{Pop}_{\text{Region}}$$

#### ■ Reduced Funding Match Factor

$$\blacksquare \text{RFMF} = [0.10 - (0.10 \times \text{DCR} \times \text{benefit factor})]$$

$$\blacksquare \text{Population}_{\text{DAC}} / \text{Population}_{\text{Served by Project}} \text{ (if possible)}$$



# Funding Match

# Funding Match Requirements

- Planning Grants
  - Minimum Funding Match – 25%
- Implementation Grants
  - Minimum Funding Match – 10%
- Funding Match may be waived or reduced for disadvantaged communities
  - < 80% Statewide Average MHI
  - Using 2000 Census 80% MHI = \$37,994

# Funding Match

- Funds made available by the grant recipient from non-state sources
- Examples:
  - Federal funds
  - Local funding or donated services from non-state sources
  - State agencies – may include state funds and services
- CWC § 79505.5(b-c)

# Funding Match

- May include “past costs”
  - After November 5, 2002, and
  - Prior to the effective date of a grant agreement
  - Not eligible for reimbursement
- At the Granting Agency’s discretion
  - See “Reimbursable Costs” Definition

# Funding Match Planning Grants

- EXAMPLE 1:
- Grant amount is at the maximum
- Applicant must pay for costs above \$500,000
- Agency Funding = \$226,000
- Grant Request = \$500,000
- Total = \$726,000
- $\$226,000 / \$726,000 \times 100 = 31\%$

# Funding Match Planning Grants

- EXAMPLE 2
- Grant amount is less than the maximum amount
- Agency Funding = \$141,667
- Grant Request = \$425,000
- Total = \$566,667
- $\$141,667 / \$566,667 \times 100 = 25\%$

# Funding Match Planning Grants

- EXAMPLE 3
- Maximum amount of grant funding
- Reduced funding match request
- Agency Funding = \$66,667
- Grant Request = \$500,000
- Total = \$566,667
- $\$66,667 / \$566,667 \times 100 = 12\%$ .

# Funding Match Implementation Grants

- Same logic
- Numbers larger



# FAAST

Financial Assistance Application  
Submittal Tool

# What is FAAST?

- Web-based application to manage grant solicitations
- Access FAAST using web browser  
<https://faast.waterboards.ca.gov>

# Benefits of FAAST

- Facilitates application solicitation process
- Provides effective and efficient means for application submittal
- Provides increased communications with applicants

# System Requirements & Security Features

- FAAST operates best with:
  - Internet Explorer Version 6 or above
  - Disabling popup blocking software
  - Lower browser security settings to medium or medium-low
- FAAST Security features:
  - SSL encryption of all files transmitted over web
  - User name and password protection

# Application Submittal Process

- Step 1 – Create User Account
- Step 2 – Start Application
- Step 3 – Complete Online Forms
- Step 4 – Upload/Attach Files
- Step 5 – Submit Application

# Step 1

## Create User Account

- FFAST User Account Required
  - Click “Sign Up” button on FFAST homepage
  - Figure 1

# Step 1

## Create User Account

- Complete Three Step Process
  - Step 1/3: Enter personal information
    - Figure 2
  - Step 2/3: Enter organization information, or Select existing using Search button
    - Figure 3
  - Step 3/3: Create Username and Password
    - Figure 4
- Need help? See user manual & FAQs

# Step 2

## Start New Application

- Figure 5
- Log in to FAAST
- Click the link “Start a New Application”



# Step 2

## Start New Application Select Solicitation

- Figure 6
- Select from the “Integrated Regional Water Management Grant” list:
  - Implementation
  - Planning
- Grant applications now available on FAAST

## Step 3

### Complete Online Forms – Application Initiation

- Figure 7
- To initiate application must complete:
  - Project Title
  - Project Description
  - Responsible Regional Water Quality Control Board
- Click “Save and Continue” button to initiate application

# Step 3

## Complete Online Forms – General Information

- Figure 8
- PIN is created, and nine tabs appear
  - Please record your PIN
- Enter data into all fields
  - See FAAST Checklist
- Click on “Save as Work in Progress” button before going to another tab

# Step 3

## Complete Online Forms – Funding Programs

- Figure 9
- Select Funding Program(s)
- For Planning choose either or both:
  - Integrated Regional Water Management Planning Grant
  - Integrated Coastal Watershed Management Planning Grant
- For Implementation choose:
  - Integrated Regional Water Management Implementation Step 1 Grant

## Step 3

### Complete Online Forms – Legislative Information

- Figure 10
- Enter Legislative Districts covered by the proposal
- Links to assist applicants

## Step 3

### Complete Online Forms – Agency Contacts

- Figure 11
- Enter Agency Contacts
  - Assisted in development of proposal
  - Include State or Federal agencies

## Step 3

### Complete Online Forms – Cooperating Entities

- Figure 12
- Enter Cooperating Entities (Regional Partners) that have/will assist applicant in development of proposal or implementation of project
- Role/Contribution to project could include:
  - Implementing Agency

## Step 3

### Complete Online Forms - Application Questionnaire

- Figure 13
- Answer all questions thoroughly
- If necessary, reference the material in attachments
- Additional questionnaire guidance
  - See the IRWM PSP's



## Step 4

### Upload/Attach Files Application Attachments

- Figure 14
- See PSP for required attachments & naming
  - Att2\_PG\_EligDoc\_1of1
- File size limited to 5 megabytes (MB) per attachment
- Spatial data files that can't be split into smaller files
  - Mail to State Water Board on a CD
  - Must be received by due date

# Step 5

## Preview and Submit Application

- Figure 15
- Click “Preview/Submit Application” button
  - Review application
  - Confirm that it is complete
  - Enter initials
  - Click “Submit Now”
- Email notification sent confirming submission
- Application read-only
- Complete user survey

# Tracking Your Application

- Figure 16
- Application Status Page
  - Shows status history of application

# Need Help?

- Please read User Manual and FAQs
- Forgot your User Name, Password, or need assistance with FAAST?
  - Call State Water Board Staff at 1-866-434-1083
  - Email [faast\\_admin@waterboards.ca.gov](mailto:faast_admin@waterboards.ca.gov)

# Implementation Grants

## Step 1

# Implementation Grants, Step 1

- Application Instructions
  - How to Submit
  - What to Submit
  - Requirements for Attachments
- Evaluation/Scoring Criteria

# Application Instructions

## II. A. How to Submit

- Use FFAST to submit application
  - Table 1 – FFAST Checklist
    - Application Questionnaire – 15 Questions
    - Similar to Planning PSP, except for:
      - Q5 – Does the agency have an adopted Plan?
      - Q9 – Does the proposal include any GW management or recharge projects?
      - Q10 – For agencies listed in Q9, has the agency complied with CWC § 10753
  - Application Attachments – 13 Attachments

# Application Instructions

## II. B. What to Submit

- Attachments using this convention:
  - Att #\_IG1\_Attachment Name\_#ofTotal #
  - For example:
    - Att3\_IG1\_IRWMPlan\_4of6



# Application Instructions

## II. C. Requirements for Attachments – Attachment 1

### Authorizing Documentation

- Attachment Name is “AuthDoc”
- Exhibit A – Example Resolution

# Application Instructions

## II.C. Requirements for Attachments Attachment 2

### Eligible Applicant Documentation

- Attachment Name is “EligDoc”
- Exhibit B
- List of questions to be answered
- Same questions as for Planning

## Section II.C

### Requirements for Attachments – Attachment 3

#### Adopted IRWM Plan or Functionally Equivalent Plan (FEP) & Proof of Adoption

- Attachment Name is “IRWMPlan”
- Submit electronic copy
- Submit proof of adoption
- FEP may require additional document integrating plans
- For Agencies without an adopted Plan
  - Submit electronic copy of most recent draft Plan
  - Provide schedule for Plan adoption by January 1, 2007

## Section II.C

### Requirements for Attachments – Attachment 4

#### Consistency with **Minimum** IRWM Plan Standards

- Attachment Name is “MinStd”
- Document that the Plan meets the *minimum* IRWM Plan standards
- Pass/Fail Evaluation Criteria
- Length limited to 3 pages

## Section II.C

### Requirements for Attachments – Attachment 4

- If Plan has not been adopted, demonstrate:
  - Engaged in the development of an IRWM Plan that will meet the **Minimum** IRWM Plan Standards;
  - How the proposal achieves the IRWM Plan objectives; and
  - IRWM Plan will be adopted by January 1, 2007

## Section II.C

### Requirements for Attachments – Attachment 5

#### Consistency with IRWM Plan Standards

- Attachment Name is “ConsisStand”
- Document how the IRWM Plan addresses *each* standard shown in Guidelines
- Sub-sections that address each standard
- Reference where in Attachment 3 the standard is discussed
- Size limited to 6 pages

## Section II.C

### Requirements for Attachments – Attachment 6

#### Description of Proposal

- Attachment Name is “Proposal”
- Describe the Proposal
  - Suite of projects
  - Same projects as shown on Cost Estimate & Schedule
- Must include one or more elements of CWC § 79561
- Discuss metric(s) that show improvement in water supply or water quality
- No page limitation, but be clear & concise

## Section II.C

### Requirements for Attachments – Attachment 7 Cost Estimate

- Attachment name is “CostEst”
- Provide budget form for each project plus roll-up, or summary cost estimate
  - Use form shown on Exhibit C
- Clearly explain how work described in Proposal (Att 6) & Schedule (Att 8) are linked to budget categories (Exhibit C)
- *Must* provide a minimum of 10% funding match, unless requesting waiver or reduction of funding match



## Section II.C

### Requirements for Attachments – Attachment 8

#### Schedule

- Attachment name is: “Schedule”
- PSP lists items expected to be in the schedule
- Assume effective date for Agreement of July 1, 2006
- Show start and end dates
- Use bar or Gantt chart format

## Section II.C

### Requirements for Attachments – Attachment 9 Need

- Attachment name is “Need”
- Describe need for proposal and each project
- Discuss impacts if project is not implemented
- Length limited to 3 pages

## Section II.C

### Requirements for Attachments – Attachment 10

#### Disadvantaged Communities Supporting Information

- Attachment name is “DACinfo”
- Provide text information on presence of DACs
- % of DACs to total population of region
- Any direct benefit to DACs from a project(s)
- If meeting 10% minimum funding match is  
problem list here

## Section II.C

### Requirements for Attachments – Attachment 10

- See Exhibits D & E for instructions & guidance
- Call back letter will inform applicant of DWR/State Board determination of waiver/reduction

## Section II.C

### Requirements for Attachments – Attachment 11

#### Program Preferences

- Attachment name is “ProgramPref”
- Guidelines Section II.E
- Length is limited to 3 pages

## Section II.C

### Requirements for Attachments – Attachment 12

#### Statewide Priorities

- Attachment name is “Priorities”
- Guidelines Section II.F
- Considered by Selection Panel
- Length is limited to 3 pages

## Section II.C

### Requirements for Attachments – Attachment 13

#### Environmental Compliance

- Attachment Name is “EnviComp”
- Discusses how proposal will comply with CEQA, etc.
- Modification to a river or stream channel must be fully mitigated
  - Show in Attachment 13
  - If funded – DWR or State Board will have make “fully mitigated” determination

# Section III.A

## Evaluation Criteria

- 5 Points – Criterion **fully addressed** and **supported** by thorough and well presented documentation and logical rationale
- 4 Points – Criterion **fully addressed** but is **not supported** by thorough documentation or sufficient rationale
- 3 Points – Criterion is **less than fully addressed** and documentation and/or rationale are **incomplete or insufficient**
- 2 Points – Criterion is **marginally** addressed
- 1 Point – Criterion is **not addressed** or no documentation or rationale is presented



# Section III.A

## Evaluation Criteria

- Adequacy of IRWM Plan
  - 60 points total
- Adequacy of Proposal
  - 60 points total
- **Minimum Score = 24 Points**
- **Total Points Available = 120**

# Questions & Answers